January 30, 2007

Bolko von Roedern National Renewable Energy Laboratory 1617 Cole Boulevard Golden, CO 80401

Re: NREL Subcontract #ADJ-1-30630-12 D.5.7

Dear Bolko,

This report covers research conducted at the Institute of Energy Conversion (IEC) for the period of August 16, 2006 to September 15, 2006, under the subject subcontract. The report highlights progress and results obtained under Task 2 (CIS-based solar cells).

TASK 2: CuInSe₂-BASED SOLAR CELLS

Cu(InGa)(SeS)₂ Formation by H₂Se/H₂S Reaction

We have previously characterized a sequential selenization/sulfization reaction of metallic Cu-In-Ga precursors for the formation of Cu(InGa)(SeS)₂ films [1]. This included characterization of the reaction pathways in single-reaction selenization and sulfization processes and composition profile development in the two-reaction processes in which sputtered Cu_{0.8}G_{0.2} / In precursors were reacted in H₂Se for either 15 or 30 m at 450°C, followed by reaction in H₂S at 550°C for either 15 or 30 m. Samples selenized for 15 minutes exhibited uniform Ga through the depth of the film, while those selenized for 30 minutes exhibited the commonly observed back-contact Ga accumulation which leads to low V_{OC}. All films had a steep sulfur gradient near the front surface. With the films reacted at 450°C for 15 m in H₂Se devices with V_{OC} > 600 mV with η > 13% were demonstrated.

In this work, we characterize device performance as a function of variations in the two-step reaction. Results are summarized in Table I. A baseline with 15 m / 450°C for the H_2Se and 15 m / 550°C for the H_2S reactions yielded similar composition to that previously reported with [Ga]/[In+Ga] = 0.2 and [S]/[Se+S] = 0.4 as measured by EDS. Device performance was also similar with $V_{OC}=0.65V$ and $\eta=12.6\%$ so the benefits of the Ga incorporation were realized in V_{OC} . Process variations investigated include:

1. An increase in H_2S reaction time to 20 m resulted in similar [Ga]/[In+Ga] but lower FF and J_{SC} in the devices (samples 1097.32a and 1102.11d). This could be

- due to an interface collection barrier caused by too much S diffused into the Cu(InGa)(SeS)₂ surface. Symmetric XRD and EDS are not very sensitive to a surface S layer and GIXRD measurements to characterize the near surface of the Cu(InGa)(SeS)₂ have not been completed.
- 2. An increase in H₂Se time to 30 minutes gave much lower [Ga]/[In+Ga], as reported previously, attributed to more complete reaction and consumption of the Cu₉Ga₄ phase during selenization [1]. In addition, this leads to films with poor adhesion at the Mo/Cu(InGa)(SeS)₂ interface and most attempts to make devices resulted in delamination after film reaction or during CdS bath deposition. On one sample, devices were completed and had lower V_{OC} consistent with the low Ga composition (sample 1097.21c).
- 3. Reducing the H_2Se reaction time to 10 m gave a small increase in V_{OC} but even lower J_{SC} (sample 1102.21d).
- 4. Reaction in H₂Se at lower T = 400°C was investigated to determine if it might give better uniformity (see June 2006 report under this contract). With reaction time increased to 45 m, comparable results for composition and device performance were obtained (sample 1102.12a) but visual non-uniformities remained.

In conclusion, the two-step reaction reproducibly enables the Ga to be uniformly incorporated and $V_{OC} > 0.62 V$ to be attained. However, higher cell performance may require more precise optimization of the reaction conditions to optimize the S gradient and the current collection.

Table I. Reaction parameters, composition ratio measured by EDS, and device results for Cu(InGa)(SeS)₂ formed by two-step H₂Se/H₂S reactions.

Sample	H	₂ Se H ₂ S		EDS			η	V _{OC}	J_{SC}	FF	
#	t (m)	T (°C)	t (m)	T (°C)	Cu/III	Ga/III	S/VI	(%)	(V)	(mA/cm^2)	(%)
1102.22a	15	450	15	550	0.87	0.20	0.36	12.6	0.643	28.9	67.8
1097.32a	15	450	30	550	0.87	0.21	0.50	10.6	0.648	26.9	61.0
1102.11d	15	450	30	550	0.90	0.18	0.37	8.7	0.621	26.7	52.6
1102.21d	10	450	30	550	0.91	0.19	0.38	5.4	0.665	15.5	52.6
1097.21c	30	450	30	550	0.96	0.05	0.21	4.7	0.522	25.9	34.7
1102.12a	45	400	15	550	0.85	0.2	0.37	12.4	0.620	31.1	64.5

Fundamental Materials and Interface Characterization

Cu(InGa)Se₂ Thickness

We previously reported characterization of the effect of absorber layer thickness (d) on device behavior using $Cu(InGa)Se_2$ layers deposited with different times and also with an aqueous Br-etch to controllably reduce the thickness from 2.0 to 0.4 µm [2]. Runs were repeated to test the reproducibility of the thinnest deposited layers. In the previous work, a 10 m deposition time to give thickness = 0.37 µm gave a best cell with $\eta = 7.8\%$ with

 V_{OC} = 0.601 V, J_{SC} = 20.7 mA/cm², and FF = 62.9%. A repeat run with the same deposition conditions and time gave thickness = 0.38 µm and a best cell with η = 9.1%, V_{OC} = 0.544 V, J_{SC} = 22.8 mA/cm², and FF = 73.6%. Repeat runs with 20 m deposition to give 0.7 µm thickness gave comparable performance to that attained previously. Two conclusions can be made from this result. First, the reproducibility with the thinnest layers is worse than with thicker absorber layers. Second, cells with deposited thickness < 0.5 µm can be made with high FF. The only consistent loss with the thinnest Cu(InGa)Se₂ layers remains the loss in J_{SC} , which is expected due to incomplete absorption but is greater than predicted by device models [3,4].

Two approaches are being undertaken to increase J_{SC} in devices using absorber layers with thickness $0.3 = d = 1.0 \, \mu m$. First, methods will be developed to implement light scattering into $Cu(InGa)Se_2$ devices and determine its potential for increasing J_{SC} . The initial approach to this will be to investigate modifications to the deposition of ZnO or ITO and a sputter system will be fitted with the capability for controlled water vapor inclusion for this purpose. A second approach will be to determine if the higher absorption coefficient in $CuInS_2$ or S-containing alloys [5] can be used to increase J_{SC} with thin absorbers. Using the absorption coefficients measured by spectroscopic ellipsometer for evaporated $Cu(InGa)Se_2$ and $CuInS_2$ films [5], the relative loss in current due to incomplete absorption as a function of thickness was calculated and is shown in Figure 1. With $d = 0.4 \, \mu m$, 96 % of the incident light (above the $E_g = 1.5 \, eV$) is absorbed in a $CuInS_2$ films while only 91% is absorbed in a $Cu(InGa)Se_2$ with $E_g = 1.3 \, eV$.

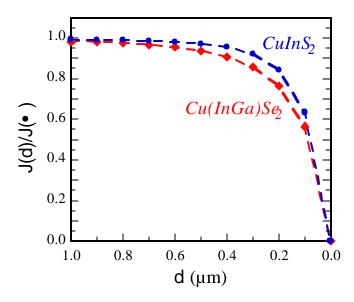


Figure 1. Relative loss in current due to incomplete optical absorption in CuInS₂ and Cu(InGa)Se₂ films.

Cu(InGa)Se₂/Mo Back Contact

Reaction of Mo with Se and/or S can cause adhesion problems at the back contact between Mo and Cu(InGa)(SeS)₂ after growth of absorber layers by reaction of Cu-Ga-In

precursors in hydride gases. Previously, sputtered Mo films on soda lime glass substrates were reacted in flowing H_2Se , H_2S , or an equal mixture of the two (March 2006 report under this contract). In each case, the reaction was done at $550^{\circ}C$ for 1 hour with a total hydride gas concentration of 0.35% in Ar. Characterization of the surface layers was done by XRD which showed $MoSe_2$ for films reacted in H_2Se or H_2Se+H_2S and a much thinner MoS_2 layer for a film reacted only in H_2S .

To further characterize the reaction of Mo with the hydride gases, XPS measurements have been done on films reacted under the same conditions. Results are shown in Table II. The surface compositions of the Mo reacted in H_2S and H_2S indicate the presence of $MoSe_2$ and MoS_2 respectively, as expected. The film reacted in equal parts H_2S and H_2S had no measurable S (above the detection limit of ~ 1%). It is also notable that Na was detected at the surface only after reaction with H_2S or the mixed gas but not after reaction with H_2S .

To better understand the role of the thermodynamics in the reactions of Mo with S and Se the heats of formation (ΔH_f) of MoSe₂ and MoS₂ were calculated [6,7,8,9] and are summarized in Table III. Three cases are considered, reaction of H₂X with Mo, reaction of X₂ with Mo or reaction of H₂X with MoO₂ (X = Se or S). While all the reactions to form MoSe₂ or MoS₂ have negative ΔH_f , in each case, the heats of formation favor MoS₂ over MoSe₂. Therefore, the result of Mo reacted with the H₂Se/H₂S mix cannot be explained by the equilibrium thermodynamics.

Table II. Summary of surface atomic percentages measured by XPS for reacted Mo substrates.

Description	%C	%O	%Mo	%S	%Se	%Na
Plain Mo	22	48	31	0	0	
Mo reacted with H ₂ Se	48	22	9	0	19	2
Mo reacted with H ₂ S	36	20	13	31	0	0
Mo reacted with mix	37	22	12	0	27	2

Table III. Heats of formation at 1 atm and 298.15 K for three different reactions forming MoS_2 or $MoSe_2$.

Reaction	$\Delta H_{\rm f}$ (kJ/mol)			
$2H_2S + Mo \rightarrow MoS_2 + 2H_2$	-235			
$Mo + S_2 \rightarrow MoS_2$	-405			
$2H_2S + MoO_2 \rightarrow MoS_2 + 2H_2O$	-131			
$2H_2Se + Mo \rightarrow MoSe_2 + 2H_2$	-181			
$Mo + Se_2 \rightarrow MoSe_2$	-377			
$2H_2Se + MoO_2 \rightarrow MoSe_2 + 2H_2O$	-76			

References:

- 1. G. Hanket, W. Shafarman, R. Birkmire, Proc. WCPEC-4, 560 (2006).
- 2. W. Shafarman, R. Huang, S. Stephens, Proc. WCPEC-4, 420 (2006).
- 3. O. Lundberg, M. Bodegard, J. Malmstrom L. Stolt, Prog. Photovolt 11, 77 (2003).
- 4. M. Gloeckler, J. Sites, J. Appl. Phys. 98, 103713 (2005).
- 5. W.N. Shafarman and P.D. Paulson, Proc. 31st IEEE PVSC, 231 (2005).
- 6. P. Floegel, Zeitschrift fuer Anorganische und Allgemeine Chemie 388, 218 (1972).
- 7. M. W. Chase Jr., Journal of Physical and Chemical Data: NIST-JANAF Thermochemical Tables II (1998).
- 8. P. A. G. O'Hare, I. R. Tasker, and J. M. Tarascon, Journal of Chemical Thermodynamics **19**, 61 (1987).
- 9. J. Berkowitz and W. A. Chupka, The Journal of Chemical Physics 45, 4289 (1966).

Best regards,

Robert W. Birkmire Director

RWB/eak

CC: Paula Newton, IEC Susan Tompkins, OVPR, UD Carolyn Lopez, NREL